

FORM PTO-1449	SERIAL NO.	CASE NO. 10413-31
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE August 15, 2001	GROUP ART UNIT 376
(use several sheets if necessary)		APPLICANT(S): Atsuo F. Fukunaga, et al.

U.S. PTO

09/930821



**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,003,511	12/21/99	Fukunaga et al.	128/202.27	7/15/98
	A2	5,983,896	11/16/99	Fukunaga et al.	128/207.14	2/4/98
	A3	5,983,894	11/16/99	Fukunaga et al.	128/208.29	7/15/98
	A4	5,983,891	11/16/99	Fukunaga et al.	128/200.24	7/15/98
	A5	5,823,184	10/20/98	Gross	128/294.18	3/25/97
	A6	5,778,872	7/14/98	Fukunaga et al.	128/202.27	11/18/96
	A7	5,722,391	5/3/98	Rosenkoetter et al.		
	A8	5,715,815	2/10/98	Lorenzen et al.		
	A9	5,623,922	4/29/97	Smith	128/204.18	1/3/95
	A10	5,546,930	08/30/96	Wikefeldt		
	A11	5,404,873	04/11/95	Leagre et al.		
	A12	5,377,670	1/3/95	Smith	128/204.17	6/19/92
	A13	5,320,093	06/14/94	Raemer		
	A14	5,284,160	02/08/94	Dryden		
	A15	5,230,727	7/27/93	Pound et al.		
	A16	5,195,527	3/23/93	Hicks		
	A17	5,140,983	8/25/92	Jinotti		
	A18	5,121,746	6/16/92	Sikora	128/203.12	5/29/91
	A19	5,088,486	2/18/92	Jinotti		
	A20	4,967,744	11/6/90	Chua	128/204.18	11/3/98
	A21	4,938,210	7/3/90	Shene	128/203.12	4/25/98
	A22	4,809,706	03/07/89	Watson et al.		
	A23	4,657,532	04/14/87	Osterholm		
	A24	4,637,384	01/20/87	Schroeder		
	A25	4,621,634	11/11/86	Nowacki et al.		
	A66	4,596,246	06/24/86	Lyall		
	A26	4,463,755	3/7/84	Suzuki		
	A27	4,462,397	07/31/84	Suzuki		
	A28	4,391,271	07/05/83	Blanco		
	A29	4,367,769	1/11/83	Bain	138/114	7/17/78
	A30	4,265,235	05/03/81	Fukunaga		
	A31	4,269,194	05/26/81	Rayburn et al.		
	A32	4,232,667	11/11/80	Chalon et al.		
	A33	4,188,946	02/19/80	Watson et al.		
	A34	4,148,732	04/10/79	Burrow et al.		
	A35	4,007,737	02/05/77	Paluch		
	A36	3,856,051	12/24/74	Bain		
	A37	3,713,440	01/30/73	Nicholes		

EXAMINER

DATE CONSIDERED


16 May 02

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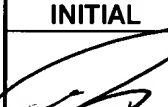

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A38	3,556,097	01/19/71	Wallace	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A39	93,941	8/10/23	Austria		
	A40	0 462 412 A2	12/27/91	European Patent Office		
	A41	1 270 946	4/19/72	United Kingdom	A61M 16/00	N/A
	A42	WO 85/05277	12/05/85	Patent Cooperation Treaty		
	A43	WO 91/19527	12/26/91	Patent Cooperation Treaty		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A44 Andrews, J. Jeffrey, <u>Inhaled Anesthetic Delivery</u> , Anesthesia, Fourth Edition, pp. 185; and 203-207
	A45 Byrick, R.J., et al., "Rebreathing and Co-Axial Circuits: A Comparison of the Bain and Mera F", <i>Canad Anaesth Soc J</i> , Vol. 28, pages 321-328 (1981)
	A46 Dorsch, Jerry A., M.D., Dorsch, Susan E., M.D., <u>Understanding Anesthesia Equipment</u> , Chapter 7, The Circle Absorption System, pp. 201-202 and 220-221
	A47 Forrest, P.R., "Defective Anaesthetic Breathing Circuit", <i>Canad J Anaesth</i> , Vol. 34, pages 541-542 (1987)
	A48 Goresky, G.V., "Bain Circuit Delivery Tube Obstructions", <i>Canad J Anaesth</i> , Vol. 37, page 385 (1990)
	A49 Hannallah, R., et al., "A Hazard Connected With Re-Use of the Bain's Circuit: A Case Report", <i>Canad Anaesth Soc J</i> , Vol. 21, pages 511-513 (1974)
	A50 Heath, P.J., et al., "Modified Occlusion Tests for the Bain Breathing System", <i>Anaesthesia</i> , Vol. 46, pages 213-216 (1991)
	A51 Jeretin, S. et al., "A Variable Deadspace Device for Use with the Engström Respirator", <i>Anesthesiology</i> , Vol. 34, pages 576-577 (1971)

EXAMINER 	DATE CONSIDERED <u>11/15/02</u>
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A52	Okazaki, et al., "Effect of Carbon Dioxide (Hypocapnia and Hypercapnia) on Regional Myocardial Tissue Oxygen Tension in the Dog", <i>Anesthesiology</i> , Vol. 71, No. 3A, A486 (1989)
	A53	Okazaki, et al., Effect of Carbon Dioxide (Hypocapnia and Hypercapnia) on Regional Myocardial Tissue Oxygen Tension in Dogs with Coronary Stenosis", <i>Anesthesiology</i> , Vol. 73, No. 3A, A549 (1990)
	A54	Okazaki, et al., "Effect of Carbon Dioxide (Hypocapnia and Hypercapnia) on Internal Mammary-Coronary Arterial Bypass Graft Blood Flow and Regional Myocardial Oxygen Tension in the Dog", <i>Anesthesiology</i> , Vol. 81, No. 3A, A717 (1994)
	A55	Paterson, J.G., et al., "A Hazard Associated with Improper Connection of the Bain Breathing Circuit", <i>Canad Anaesth Soc J</i> , Vol. 22, pages 373-377 (1975)
	A56	Pilbeam, Susan P., <i>Mechanical Ventilation</i> , 2 <sup>nd</sup> Ed., Mosby Year Book, St. Louis, Missouri, pages 285-286 (1992)
	A57	Pontoppidan, H., et al., "Acute Respiratory Failure in the Adult", <i>New England Journal of Medicine</i> , Vol. 287, pages 743-752 (1972)
	A58	Robinson, S., et al., "Safety Check for the CPRAM Circuit", <i>Anesthesiology</i> , Vol. 59, pages 488-489 (1983)
	A59	Scott, P.V., et al., "Variable Apparatus Deadspace", <i>Anaesthesia</i> , Vol. 46, No. 9, pages 1047-1049 (1991)
	A60	Shapiro, B.A., et al., "Clinical Application of Respiratory Care", <i>Yearbook Medical Publishers, Inc.</i> , pages 351-352 ("Principles of Ventilator Maintenance") (1979)
	A61	Stoyka, W., "Usefulness of the Suwa Nomogram in Patients in Respiratory Failure", <i>The Canadian Anaesthetists' Society Journal</i> , pages 119-128 (1970)
	A62	Suwa, K., et al., "Change in PaCO <sub>2</sub> with mechanical dead space during artificial ventilation", <i>Journal of Applied Physiology</i> , Vol. 24, pages 556-561 (1968)
	A63	Advertisement of the CPRAM™ Coaxial Circuits by Dryden Corporation, Indianapolis, Indiana
	A64	Advertisement of the ACE Breathing Circuit™ by Meridian Medical Systems, Inc., Indianapolis, Indiana
	A65	Fletcher, R., Scott, P. V., Jones, R.P., "The variable deadspace is not necessary," Correspondence reported in <i>Anaesthesia</i> , Vol. 47, No. 7, pages 623-624 (1992).

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